PENELOPE. A code system for Monte Carlo simulation of electron and photon transport

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Introduction

- PENELOPE is an acronym for "Penetration and ENErgy LOss of Positrons and Electrons"
- A general-purpose Monte Carlo simulation code system with
 - Realistic, well defined interaction models
 - Fast and accurate random sampling algorithms
 - Efficient tools for tracking particles through complex geometries (constructive quadric geometry)
 - Complementary tools: variance reduction, transport in electromagnetic fields, tabulation of macroscopic interaction parameters, ...
- Distributed by the OECD/Nuclear Energy Agency Data Bank (Paris) and the RSICC (Oak Ridge).
 More than 1,000 copies distributed
 List server: http://www.oecd-nea.org/lists/penelope.html

• Main applications:

- Radiotherapy and Nuclear Medicine
- Dosimetry and radiation metrology
- Electron microscopy (SEM, electron-probe microanalysis)
- Detector response, x-ray generators, ...

Introduction

• Main reference: very detailed manual, free hard copies available

F. Salvat, J.M. Fernández-Varea and J. Sempau *PENELOPE-2011: A Code System for Monte Carlo Simulation of Electron and Photon Transport* OECD NEA Data Bank/NSC DOC(2011)/5 (OECD Nuclear Energy Agency, Issy-les-Moulineaux, 2011) http://www.oecd-nea.org/dbprog/courses/penelope-2011.pdf

• Other references:

J. Baró, J. Sempau, J.M. Fernández-Varea and F. Salvat "PENELOPE: an algorithm for Monte Carlo simulation of the penetration and energy loss of electrons and positrons in matter" *Nucl. Instrum. Meth. B* **100** (1995) 31-46

J. Sempau, J.M. Fernández-Varea, E. Acosta and F. Salvat "Experimental benchmarks of the Monte Carlo code PENELOPE" *Nucl. Instrum. Meth. B* **207** (2003) 107-123

F. Salvat and J. M. Fernández-Varea "Overview of physical interaction models for photon and electron transport used in Monte Carlo codes" *Metrologia* **46** (2009) S112-S138

- All kinds of interactions (except nuclear reactions) in the energy range from 50 eV to 10⁹ eV
- Implements the most accurate physical models available, limited only by the required generality
- Uses an elaborate mixed scheme to simulate the transport of highenergy electrons and positrons
- Simulates fluorescent radiation from K, L, M and N shells
- Subroutine package pengeom for tracking particles in quadric geometries (*i.e.*, material systems consisting of homogeneous bodies limited by quadric surfaces)
- Electron and positron transport in electric and magnetic fields (in matter)
- Scattering of polarized photon beams (synchrotron)



 $m_e c^2 \simeq 511 \text{ keV}$, electron rest energy

• Photoelectric effect:

- Total cross sections calculated from the DHFS atomic potential (equivalent to Scofield's LLNL database; Cullen *et al.*, 1997)
- Angular distribution of photoelectrons from Sauter's (1931) formula (plane-wave Born approximation for K-shell hydrogenic ions)
- Atomic relaxation from the EADL (Perkins *et al.*, 1991)
- Coherent (Rayleigh) scattering:
 - Total cross sections from the EPDL (Cullen *et al.*, 1997), includes anomalous atomic scattering factors
 - Angular distribution from atomic form factors
- Incoherent (Compton) scattering:
 - Double-differential cross sections (DDCS) calculated from the relativistic impulse approximation (Ribberfors, 1983) using analytical Compton profiles (Brusa *et al.*, 1996)
 - Total cross sections obtained as integrals of the DDCS
 - Subsequent atomic relaxation from the EADL (Perkins etal., 1991)
- Electron-positron pair production:
 - Total cross sections from the EPDL (Cullen *et al.*, 1997), includes triplet production
 - Energies and directions of the pair particles from the Bethe-Heitler theory



 ${
m m_e}c^2\simeq 511~{
m keV},$ electron rest energy

• Elastic collisions:

- Atomic differential cross sections (DCS) calculated using the Dirac partial-wave expansion method (ICRU 77, 2007)
- High-energy modified Wentzel model with correct first and second moments (ICRU 77, 2007) for energies above 100 MeV

• Inelastic collisions:

- DDCS from the Born approximation, using the Sternheimer-Liljequist GOS model, with resonance energies fitted to reproduce the mean excitation energies from ICRU 37 (1984)
- Secondary electrons emitted in the direction of momentum transfer

• Bremsstrahlung emission:

- Photon-energy scaled DCSs of Seltzer and Berger (1985, 1986)
- Photon angular distribution fitted to partial-wave data of Kissel *et al.* (1983)

Impact ionization of inner shells

- Total cross sections for K, L and M shells calculated from the distorted-wave Born approximation (Bote *et al.*, 2009)
- Subsequent atomic relaxation from the EADL (Perkins et al., 1991)

• Mixed simulation algorithm:

PENELOPE uses a pure class II (mixed) algorithm for electrons/positrons. Allows verifying the stability under variations of simulation parameters

Hard interactions (with angular deflection larger than a cutoff angle θ_c or energy loss larger than selected cutoffs) are simulated individually

• Hard elastic interactions:

The cutoff angle is determined by two user parameters, C_1 and C_2 , according to the formula (Eq. 4.85 of the manual)

$$\lambda_{\rm el}^{\rm (h)}(E) = \max\left\{\lambda_{\rm el}(E), \min\left[\frac{C_1\lambda_{\rm el,1}(E), C_2\frac{E}{S(E)}\right]\right\}$$

• Hard energy loss events:

The user defines the cutoff energies $W_{\rm cc}$ (col) and $W_{\rm cr}$ (brems), in accordance with the required energy resolution

• Maximum allowed step length between hard interactions:

An additional parameter, s_{max} , sets a limit to the step length (needed to account for the variation of energy along the step, and for consistency of the simulation of soft events)

Electron/positron transport mechanics

- Simulation of soft interactions: Random hinge method
 - The global effect all the soft interactions in a step s between a pair of hard interactions is simulated as a single event, a hinge
 - The angular deflection and the energy loss at the hinge are sampled from approximate distributions having the correct first and second moments
 - The position of the hinge, τ , is sampled uniformly in (0,s) \Rightarrow simple and accurate scheme for interface crossing



 Includes elaborate corrections to account for the variation of energy along the step
 PENELOPE

Role/effect of the simulation parameters

- Step-length control (for each material):
- C_1 limits the average angular deflection per step, $1 \langle \cos \theta \rangle \lesssim C_1$ Influences the simulation speed only at intermediate energies
- $\begin{array}{ll} C_2 & \mbox{ limits the average fractional energy loss per step, } \langle E_0-E\rangle \lesssim C_2 E_0 \\ & \mbox{ Affects simulation speed only at high energies} \end{array}$
- Energy-straggling control (for each material):
- $W_{\rm cc}$ energy-loss threshold (in eV) for hard inelastic collisions
- $W_{\rm cr}$ energy-loss threshold (in eV) for hard bremsstrahlung events

These cutoffs govern energy resolution. Mild effect on speed

• Geometrical constraints (local):

 s_{\max} maximum step length for "critical" geometries (needed for thin bodies, backscattering, ...)

• Reasonable "blind" choices:

 C_1 and C_2 : 0.05 to 0.1; $W_{\rm cc}$ and $W_{\rm cr}$: ~ 1,000 eV $s_{\rm max}$: one tenth of the minimal thickness

Transport in complex geometries

- The subroutine package PENGEOM
 - Tracks particles within material systems consisting of homogeneous bodies limited by (fuzzy) quadric surfaces
 - Highly accurate (effective nm resolution near the origin)
 - Tailored to minimize numerical work
 - Generally applicable to detailed and mixed simulations
- Geometry viewers: 2- and 3-dimensional viewers are provided
 - Images of the geometry are rendered by using the tracking routines \Rightarrow what you see is what is passed to the simulation program





- The **PENELOPE** code system consists of
 - The subroutine package **penelope.f**, which defines the interaction properties of materials and performs the simulation of interactions
 - The geometry package pengeom.f, and the 2D and 3D viewers (gview2d.exe and gview3d.exe)
 - The variance-reduction routines **penvared.f** (include particle splitting, Russian roulette, interaction forcing, and delta scattering of photons)
 - The database: 995 ascii files with interaction cross sections and other properties of the elements Z=1-99 (hydrogen to einstenium)
 - Steering main programs for cylindrical and quadric geometries, pencyl.f and penmain.f. They can simulate a variety of radiation sources, allowing scoring of different transport properties
 - Routines **penfield.f** for tracking charged particles in static electromagnetic fields

- Program tables.f for displaying plots of energy-dependent interaction properties. Macroscopic quantities are made available numerically and graphically
- A program for displaying electron-photon showers in material slabs, shower.exe
- Documentation: Manual and tutorial
- All source programs are written in Fortran, *i.e.*, they can be run on any operating system with a Fortran compiler
- The geometry viewers gview2d and gview3d, and the program shower work only on MS Windows (for the time being)
- The output of the simulation programs and of **tables.f** is formatted for visualization with the plotting program **gnuplot**, available for Windows and Linux (http://www.gnuplot.info)

Distribution package

• A single zip compressed file, penelope.zip (~74 Mb)



Screenshot of "shower": 10 MeV electrons in water



Screenshot of "tables": photons in gold

Photon mass attenuation coefficients (mu/rho)





Example: backscattered fractions of electrons and positrons

• Experimental data from different authors (Sempau *et al.*, 2003)



Example: x-ray spectra from electron beams

• Measurements by Llovet and Merlet on an electron microprobe



Example: bremsstrahlung energy spectra

• Experiment of Rester et al., J. Appl. Phys. (1970)







